

Export LC Advise - Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Advise - Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Advice Islamic

As part of Conventional Export LC Advise, the advising bank will receive the LC through MT700, 701. The LC can be advise directly to the beneficiary if Advice Through Bank(ATB) is not applicable.

If ATB is applicable, then the LC is routed to the ATB by advising bank via MT 710, 711 and the ATB will advise the LC to the beneficiary.

The various stages involved for Advise of an Islamic Export Letter of Credit are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents.
- Verify /capture details (SWIFT/Non Online Channels)- Scrutiny stage
- Input/Modify details of LC - Data Enrichment stage
- Check for limit availability if applicable.
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Export LC Advise process flow is similar to that of conventional Export LC Advise process flow. In the following sections, let's look at the details for Export LC Advising process:

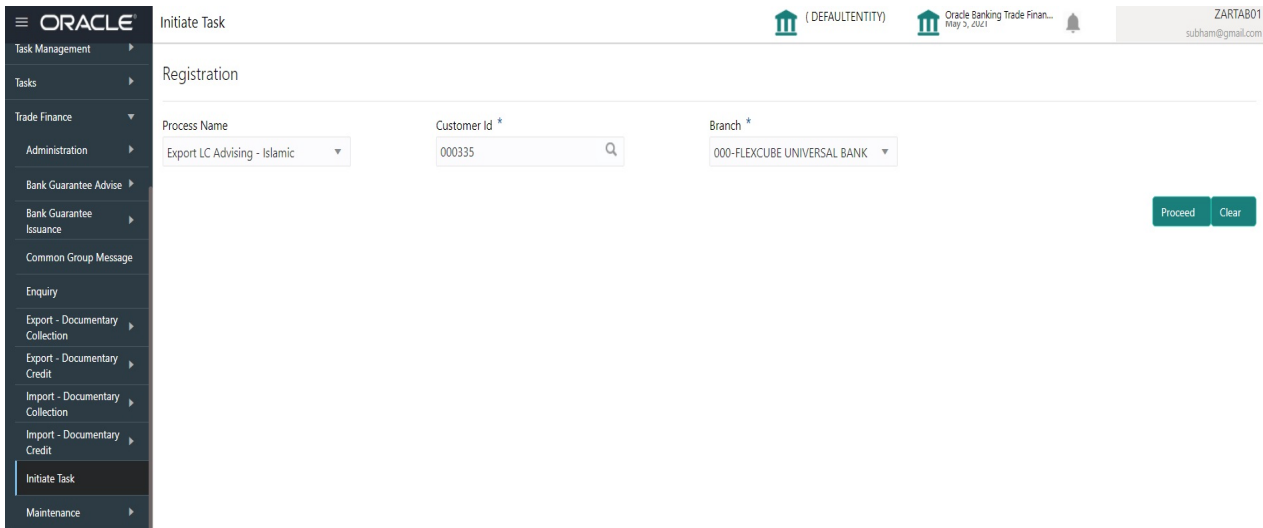
This section contains the following topics:

Common Initiation Stage	Scrutiny
Registration	Exceptions
Data Enrichment	Multi Level Approval

Common Initiation Stage

The user can initiate the new export LC advise request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Customer Id	Select the customer id of the applicant or applicant's bank.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

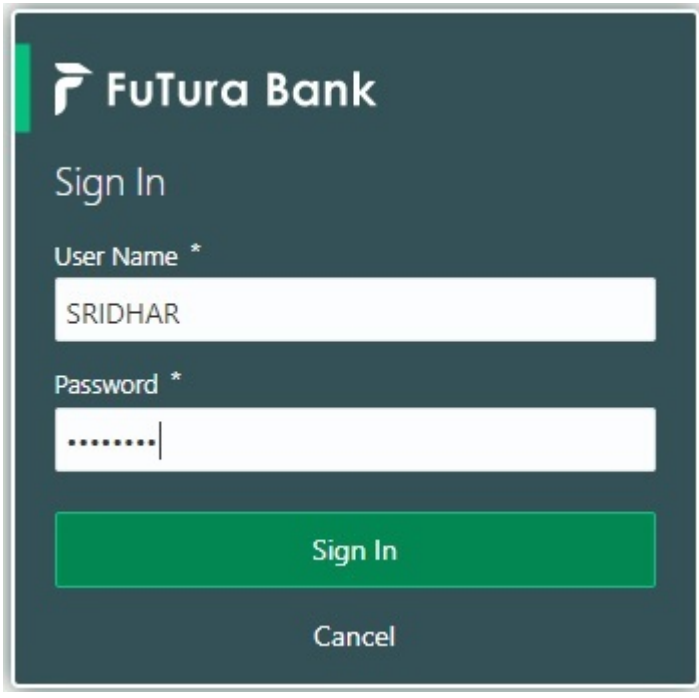
Registration

A user can register a request for an Islamic Export LC Advise received by mail/Courier at the front desk. During registration, user captures the basic details of the request, check the signature of authorized signatory of the issuing bank, and then upload related documents. On submit of the request, the task should be available for an LC expert to handle in the next stage.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

FuTura Bank Dashboard Bank Futura - (203) 04/13/18 SHUBHAM

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
004	NA	Loan Ap
004	NA	Loan Ap
004	NA	Loan Ap

High Value Transactions

140K
100K
60K
20K
-20K

-1 0 1 2 3 4 5 6 7 8

● GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prior
NA	36758	H
HSBC BANK	39951	M
WALL MART	36779	
EMR & CO	40064	M

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status Cucumber Testing

No data to display

- Within SLA
- Nearing SLA
- SLA breached

Tasks Detailed Cucumber Testing

Process Reference Number	Proce
--------------------------	-------

3. Click Trade Finance - Islamic > Export - Documentary Credit> Export LC Advice - Islamic.

The screenshot shows the Oracle Trade Finance interface. On the left is a navigation menu with 'Trade Finance - Islamic' expanded to 'Export LC Advice - Islamic'. The main area displays a 'Priority Summary' table with columns: Branch, Process Name, Stage Name, and No of High Priority Items. The table is empty with the message 'No data to display.' Below the table is a pagination control showing 'Page 1 (0 of 0 items)'. To the right, a 'High Priority Tasks' table is visible with columns: Process Reference Number, Branch, and Priority. It lists two tasks with reference numbers PK2GTEA000067002 and PK2GTEA000066997. At the bottom, there are tabs for 'Model Tax Performance' and 'Pending Exception Approval (0)'.



The Registration stage has two sections Application Details and LC Details. Let's look at the Registration screens below:


Application Details

The screenshot shows the 'Export LC Advice - Islamic' registration form. It is divided into two main sections: 'Application Details' and 'LC Details'.
Application Details:
 - MT 720 - Transfer LC: (Toggled Off)
 - Beneficiary: 000335 SH TEST CORP
 - Branch: 000-FLEXCUBE UNIVERSAL BANK
 - Priority: Medium
 - Submission Mode: Desk
 - 32B - Currency Code, Amount: USD \$1,200.00
 - Process Reference Number: 000IELA000007237
 - Advising Date: May 5, 2021
 - Issuing Bank: 000331 ICICI BANK
LC Details:
 - LC Type: Select
 - 40A - Form of Documentary Credit: IRREVOCABLE
 - 23 - Reference To Pre-Advice: [Empty]
 - 31D - Place of Expiry: [Empty]
 - Limits/Collateral Required:
 - Advising Bank: [Empty]
 - Form of Documentary Credit Details: [Empty]
 - 31C - Date of Issue: May 5, 2021
 - 51A - Applicant Bank: [Empty]
 - 39C - Additional Amount Covered: [Empty]
 - Product Code: 20 - Documentary Credit Number
 - 40E - Applicable Rules: UCP LATEST VERSION
 - Applicant: [Empty]
 - Amount In Local Currency: GBP
 - Product Description: [Empty]
 - Contract Reference Number: [Empty]
 - Date of Expiry: [Empty]
 - 39A - Percentage Credit Amount Tolerance: /

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
MT 720 – Transfer LC	<ul style="list-style-type: none"> Toggle On: If it's an Export LC Transfer Advise request. Toggle Off: If it's an Export LC Advise request. 	

Field	Description	Sample Values
Beneficiary	<p>Select the beneficiary customer from the LOV. If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</p>  <p>Note For SWIFT processing of incoming MT 700, the user can edit the Party ID and or Name populated by the system to reflect the actual beneficiary details available in incoming MT 700.</p> <p>This field will be renamed as Second Beneficiary, if the MT 720 – Transfer LC toggle is enabled.</p>	
Branch	<p>Select the branch. Customer's home branch will be displayed based on the customer ID and it can be changed, if required.</p>  <p>Note Once the request is submitted, Branch field is non-editable.</p>	203-Bank Futura -Branch FZ1
Priority	<p>This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement.</p> <p>Set the priority of the Export LC Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.</p>	High
Submission Mode	<p>Select the submission mode of Export LC Advice request. By default the submission mode will have the value as 'Desk'.</p> <p>Desk- Request received through Desk Fax - Request received through Fax Email - Request received through Email Courier - Request received through Courier</p>	Desk
Currency Code, Amount	<p>Select the currency code.</p> <p>Provide the value of LC (with decimal places) as per currency type.</p>	GBP, 1,000.00
Process Reference Number	<p>Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.</p>	203ILCISS0000 00500

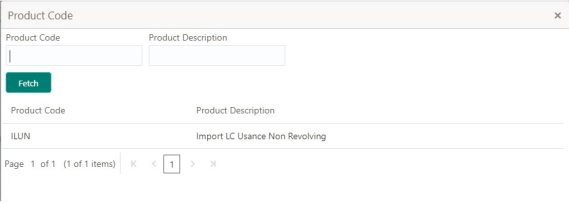
Field	Description	Sample Values
Advising Date	<p>By default, the application will display branch's current date and enables the user to change the date to any back date.</p>  <p>Note Future date selection is not allowed.</p>	04/13/2018
Issuing Bank	<p>Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <p>a) SWIFT code (if available)</p> <p>b) Name and address of the bank</p> <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	
OLD Advising Bank	<p>Select the old advising bank.</p> <p>Click the look up icon to search the advising bank based on Party ID/Party name.</p> <p>This field appears, if the MT 720 – Transfer LC toggle is enabled.</p>	001342 -HSBC Bank

LC Details

Registration user can provide LC details in this section. Alternately, LC details can be provided by Scrutiny user.

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	<p>Select the applicable LC type from LOV:</p> <ul style="list-style-type: none"> • Sight • Usance • Mixed 	

Field	Description	Sample Values
Advising Bank	Select the advising bank. Click the look up icon to search the advising bank based on Party ID/Party name.	001342 -HSBC Bank
Product Code	Select the applicable product code. Click the look up icon to search the product code with code or product description.  Alternatively, enter the product code and on tab out system will validate and populate the selected product description.	ILUN
Product Description	Auto populated by the application based on the product code.	Export LC Usance Non Revolving
40A - Form of Documentary Credit	Select the type of LC (Documentary Credit) as per the requirement. Default LC type is Irrevocable . This field will be renamed as 40B - Form of Documentary Credit , if the MT 720 – Transfer LC toggle is enabled.	Irrevocable
40A - Form of Documentary Credit Details	Read only field. Form of Documentary Credit Details.	Irrevocable
20 - Documentary Credit Number	Provide the issuing bank's LC reference number. This field will be renamed as 21 - Documentary Credit Number , if the MT 720 – Transfer LC toggle is enabled.	
Contract Reference Number	Contract Reference Number will be defaulted by the system based on selected product code.	
Reference to Pre-Advice	Provide details of Pre-Advice, if issued by the bank. This field will be removed if the MT 720 – Transfer LC toggle is enabled.	
Date Of Issue	Provide the LC date of issue. Future dates are not allowed.	04/13/18
Transferring Bank's Reference	Provide the transferring bank's reference number. This field appears if the MT 720 – Transfer LC toggle is enabled.	

Field	Description	Sample Values
Applicable Rules	Select the applicable rules for the LC. Default rule if UCP Latest Version .	UCP Latest Version.
Date Of Expiry	Provide the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the expiry date is earlier than the issue date, system will provide an error and if the expiry date is equal to the issue date, system will provide a alert message.	09/30/18
Place of Expiry	Provide the place of expiry of LC.	London
Applicant Bank	Select the applicant bank details, if applicable. This field will be removed if the MT 720 – Transfer LC toggle is enabled.	001343 Bank of America
Applicant	Select the applicant, if applicant is a customer of the bank. If applicant is a walk in customer, provide the details. This field will be renamed as First Beneficiary , if the MT 720 – Transfer LC toggle is enabled.	001344 EMR & CO
Percentage Credit Amount Tolerance	Enables the user to provide tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value. If Tolerance is more than 10%, alert message will be displayed.	8/2
Limits/Collateral Required	Toggle On: Limit check is required. Toggle Off: Limit check is not required.	
Additional Amount Covered	Provide additional amount included in LC.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
50B - Non-Bank Issuer of the Original Documentary Credit	Select the Non-Bank Issuer of the Original Documentary Credit from LOV. This field appears if the MT 720 – Transfer LC toggle is enabled.	
52A - Issuing Bank of the Original Documentary Credit	Select the issuing Bank of the Original Documentary Credit from LOV. This field appears if the MT 720 – Transfer LC toggle is enabled.	

Miscellaneous

Export LC Advise - Islamic

Signatures Documents Remarks Customer Instruction Common Group Messages

Application Details

MT 720 - Transfer LC



Submission Mode *

Desk

Issuing Bank *

000331 ICICI BANK

Beneficiary *

000335 SH TEST CORP

32B - Currency Code, Amount *

USD \$1,200.00

Branch *

000-FLEXCUBE UNIVERSAL BANK

Process Reference Number

000IELA000007237

Priority *

Medium

Advising Date *

May 5, 2021

LC Details

LC Type

Select

40A - Form of Documentary Credit

IRREVOCABLE

23 - Reference To Pre-Advice

May 5, 2021

31D - Place of Expiry

Limits/Collateral Required



Advising Bank

Form of Documentary Credit Details

31C - Date of Issue *

May 5, 2021

51A - Applicant Bank

39C - Additional Amount Covered

Product Code

20 - Documentary Credit Number

40E - Applicable Rules

UCP LATEST VERSION

Applicant

Amount In Local Currency

GBP

Product Description

Contract Reference Number

Date of Expiry

39A - Percentage Credit Amount Tolerance

/

Hold Cancel Save & Close Submit

Provide the miscellaneous details based on the description in the following table:

Field	Description	Sample Values
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the mail LC received from issuing bank.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Action Buttons

Field	Description	Sample Values
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Export LC Advice Registration inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledged.	

Scrutiny

On successful completion of Registration of an Export LC Advice request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

As part of scrutiny, the user can enter/update basic details of the Islamic LC request and can verify if the request can be progressed further. The task initiated from the online channel (SWIFT MT700, 701/ MT710, 711 parsing) should be created in the Scrutiny stage directly as in conventional process flow.

MT700, 701 Parsing-Conventional and Islamic Trade finance is applicable

When parsing the MT700, 701, OBTFPM should read the SWIFT message,

- If 57A tag (ATB) value is available in the message, the task should be created in the Conventional Export LC process.
- If 57A tag (ATB) value is not available in the message and if the beneficiary is not a customer of the bank, the task should be created in the Conventional Export LC process.
- If 57A tag (ATB) value is not available in the message and if the beneficiary is a customer of the bank and if beneficiary is an Islamic customer, the task should be created in the Islamic Export LC process.
- If 57A tag (ATB) value is not available in the message and if the beneficiary is a customer of the bank and if beneficiary is not an Islamic customer, the task should be created in the Conventional Export LC process.

MT710, 711 Parsing-Conventional and Islamic Trade finance is applicable

When parsing the MT710, 711, OBTFPM should read the SWIFT message,

- If the beneficiary is not a customer of the bank, the task should be created in the Conventional Export LC process.
- If the beneficiary is a customer of the bank and if beneficiary is an Islamic customer, the task should be created in the Islamic Export LC process.

- If the beneficiary is a customer of the bank and if beneficiary is not an Islamic customer, the task should be created in the Conventional Export LC process.

MT720, 721 Parsing-Conventional and Islamic Trade finance is applicable

When parsing the MT720, 721, OBTFPM should read the SWIFT message,

- If the beneficiary is not a customer of the bank, the task should be created in the Conventional Export LC process.
- If the beneficiary is a customer of the bank and if beneficiary is an Islamic customer, the task should be created in the Islamic Export LC process.
- If the beneficiary is a customer of the bank and if beneficiary is not an Islamic customer, the task should be created in the Conventional Export LC process.

MT700, 701 Parsing-where Islamic Trade finance is only applicable

When parsing the MT700, 701, OBTFPM should read the SWIFT message and all the tasks should be created in the Islamic Export LC process.

MT710, 711 Parsing-where Islamic Trade finance is only applicable

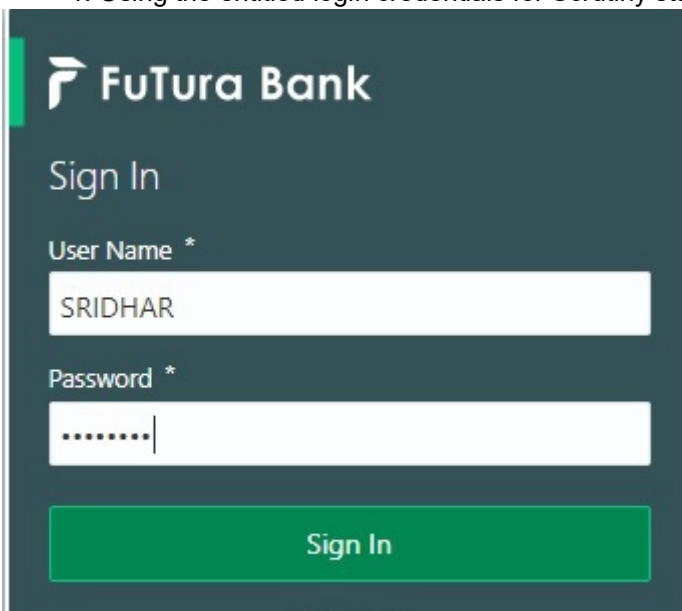
When parsing the MT710, 711, OBTFPM should read the SWIFT message and all the tasks should be created in the Islamic Export LC process.

MT720, 721 Parsing-where Islamic Trade finance is only applicable

When parsing the MT720, 721, OBTFPM should read the SWIFT message and all the tasks should be created in the Islamic Export LC process.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard for FuTura Bank displays several widgets:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, and Stage Name. Data rows include EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** Table with columns: Branch, Process Name, and Stage Name. Data row: Bank Futura, NA, Retry HandOff.
- Priority Details:** Table with columns: Branch, Process Name, and Stage Name. Data rows: 004, NA, Loan Ap.
- High Value Transactions:** A bubble chart showing transaction values for GBP. The x-axis ranges from -1 to 8, and the y-axis from -20K to 140K.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), and Priority. Data rows: NA (36758, H), HSBC BANK (39951, M), WALL MART (36779, M), and EMR & CO (40064, M).
- Priority Summary:** Table with columns: Branch, Process Name, and Stage Name. Data row: 203, Cucumber Testing, test descrip.
- Hold Transactions:** Table with columns: Branch, Process Name, and Stage Name.
- SLA Status:** A status indicator for Cucumber Testing, showing 'No data to display' with a legend for Within SLA (blue), Nearing SLA (green), and SLA breached (yellow).
- Tasks Detailed:** Table with columns: Process Reference Number and Process Name.

3. Click Trade Finance> Tasks> Free Tasks.

The Oracle Free Tasks page displays a table of tasks:

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	Scrutiny	21-12-17	PK2	000335
Acquire & Edit	Medium	Guarantee Advice Closu...	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
Acquire & Edit	Medium	Import LC Amendment L...	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007209	PK2GTEA000007209	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Co...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The Oracle Free Tasks page shows the first task selected, with the 'Acquire & Edit' button highlighted in blue. The table content is identical to the previous screenshot.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	Scrutiny	21-12-17	PK2	000335	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007231	PK2IILU000007231	DataEnrichment	21-12-17	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007227	PK2IILU000007227	Approval Task Level 1	21-12-17	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007222	PK2IILD000007222	Approval Task Level 1	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007221	PK2IILD000007221	Scrutiny	21-12-16	PK2	001044	

The Scrutiny stage has five sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** field. Refer to [Application Details](#) for more information of the fields.

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Main Details

Application Details

MT 720 - Transfer LC

Beneficiary: 000335 SH TEST CORP

Branch: 000-FLEXCUBE UNIVERSAL BANK

Priority: Medium

Submission Mode: Desk

32B - Currency Code, Amount: USD \$1,200.00

Process Reference Number: 000IELA000007237

Advising Date: May 5, 2021

Issuing Bank: 000331 ICICI BANK

LC Details

LC Type: Select

40A - Form of Documentary Credit: IRREVOCABLE

23 - Reference To Pre-Advice

31D - Place of Expiry

Limits/Collateral Required

Advising Bank

Form of Documentary Credit Details

31C - Date of Issue: May 5, 2021

51A - Applicant Bank

39C - Additional Amount Covered

Product Code: 20 - Documentary Credit Number

40E - Applicable Rules: UCP LATEST VERSION

Applicant

Amount In Local Currency: GBP

Product Description

Contract Reference Number

Date of Expiry

39A - Percentage Credit Amount Tolerance: /

Audit | Reject | Refer | Hold | Cancel | Save & Close | Back | Next

LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	

Field	Description	Sample Values
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the informations provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel the Scrutiny stage inputs and return to dashboard. The data input will not be saved.</p>	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Availability & Shipment

User must verify/input/update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments. In case the request is received through online channel, I will verify the details populated.

Availability Details

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Screen (2 / 6)

Main Details
Availability Shipment
 Payment Details
 Additional Fields
 Additional Details
 Summary

Availability Shipment

41a-Available with *
 PKBANK71XXX

41a-Available By *
 BY ACCEPTANCE

42C-Drafts At

Drawee

42 P/M - Payment Details

Shipment Details

43P-Partial Shipments

43T-Transshipment

44A-Place of Taking in Charge

44E-Port of Loading

44F-Port of Discharge

44B-Place of Final Destination

44C-Latest Date of Shipment

44D-Shipments Period

45A Description of Goods and/or Services

INCO Terms *
 DDP

INCO Terms Description
 Delivered Duty Paid (named place of de

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
MACHINE1	G	machine1	10	\$2,000.00	\$20,000.00	

Page 1 of 1 (1 of 1 items)

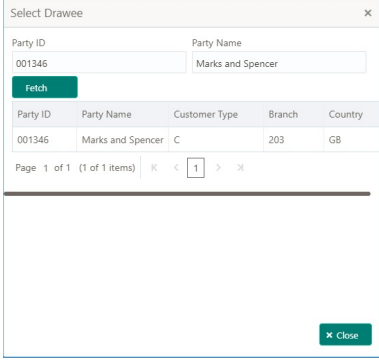

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the Availability Details based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>Online Channel - Read only</p> <p>Non Online Channel - User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name. <div data-bbox="566 1294 1177 1456" data-label="Form"> <p>Available With</p> <p>BIC Bank Name</p> <p>Fetch</p> <p>BIC Bank Name</p> <p>No data to display.</p> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p> <ul style="list-style-type: none"> If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). 	



Field	Description	Sample Values
Available By	<p>Online Channel – Read only</p> <p>Non Online Channel – Choose one of the following values from drop down.</p> <ul style="list-style-type: none"> • BY ACCEPTANCE • BY DEF PAYMENT • BY MIXED PAYMENT • BY NEGOTIATION • BY PAYMENT <p>Validation:</p> <p>1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If By Deferred Payment is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) if By Payment is selected, payment at sight is applicable. It must be applicable for sight type of product only.</p>	
Drafts At	<p>Online Channel - Read only</p> <p>Non Online Channel - Provide the draft details.</p> <p>This field specifies the tenor of drafts to be drawn under the documentary credit.</p> <ul style="list-style-type: none"> • SIGHT • NN DAYS SIGHT • USANCE (payable in full or parts) <p>NN DAYS FROM SHIPMENT DATE (e.g. 1. 30 days from BL date</p> <p>2. 10% payable 30 days from BL date, 40% payable 60 days from BL date 50% payable 90 days from BL date)</p> <p>b) NN DAYS FROM INVOICE DATE</p> <p>c) NN DAYS FROM ACCEPTANCE</p> <p>d) NN DAYS FROM DRAFT</p> <p>4. MIXED</p> <p>a) X percentage SIGHT (100-X) percentage USANCE FROM</p> <p>i) NN DAYS FROM SHIPMENT DATE</p> <p>ii) NN DAYS FROM INVOICE DATE</p> <p>iii) NN DAYS FROM ACCEPTANCE</p> <p>iv) NN DAYS FROM DRAFT</p>	




Field	Description	Sample Values
Drawee	<p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> Search the bank with SWIFT code (BIC) or Bank Name.  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p> <p> Note This field is mandatory if value is provided at Drafts At field.</p>	
Payment Details	Provide the payment details if, Available By filed has Mixed Payment or Deferred Payment .	


Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> ALLOWED CONDITIONAL NOT ALLOWED 	

Field	Description	Sample Values
Transshipment	<p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Online Channel - Read only</p> <p>Non Online Channel - Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	
Place Of Taking In Charge	<p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non online Channel - Provide the details of place of taking in charge.</p> <div style="text-align: center;">  <p>Note</p> <p>This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.</p> </div>	
Port Of Loading	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non online Channel - Provide the details of Port/ Airport of Loading.</p> <div style="text-align: center;">  <p>Note</p> <p>This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.</p> </div>	

Field	Description	Sample Values
Port Of Discharge	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Port/ Airport of Discharge.</p>  <p>Note</p> <p>This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Place Of Final Destination	<p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Place Of Final Destination.</p>  <p>Note</p> <p>This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>Provide the latest date for loading on board/ dispatch/taking in charge.</p>  <p>Note</p> <p>This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Shipment Period	<p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Shipment.</p> <p> Note This field is alternate to Latest Date Of Shipment. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

Description Of Goods And Or Services

This field contains a description of the goods and/or services. Provide the goods and services details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	<p>Online Channel - Read only.</p> <p>Non Online Channel - Select the appropriate INCO terms.</p>	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	<p>System to calculate the total price</p> <p>In case of online request, the system should populate the total amount from incoming request.</p> <p>System should validate that the total amount is equal to the value of the transaction (LC/ Collection).</p>	
Action	<p>Click Edit icon to edit the goods detail.</p> <p>Click Delete icon to delete the goods detail.</p>	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the informations provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel the operation and return to dashboard. The data input will not be saved.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Payment Details

Scrutiny user can input Payment data segment details of an Islamic ;Export LC.

Payment Details

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Screen (3 / 6)

Overrides
Customer Instruction
Common Group Messages
Incoming Message

- Main Details
- Availability Shipment
- Payment Details**
- Additional Fields
- Additional Details
- Summary

Payment Details

49G-Special Payment conditions for beneficiary

Partial Confirmation Allowed

58A - Requested Confirmation Party *
003762 CITIGB2LNNN

72-Sender to Receiver Information

49H-Special Payment conditions for receiving bank

Confirmation %

53A - Reimbursing Bank

71 D Charges

79 Z Narrative

Issuing Bank Date

48-Period for Presentation

Confirmation Amount

57A-Advise Through Bank

Issuing Bank Account No

57a - Account with Bank

49-Confirmation Instructions *
MAY ADD

To be confirmed by Advising Bank




78-Instructions to P/A/N Bank



Charges to be Claimed



Audit
Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment conditions for receiving bank	Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	Online Channel – Read-only. Non Online channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	

Field	Description	Sample Values
Confirmation Instructions	<p>Online Channel – Read only.</p> <p>Non Online Channel - Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system should display the</p> <p>a) SWIFT code (if available),</p> <p>b) Name and address of the bank</p> <p>On selection of the record if SWIFT code is available then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Partial Confirmation Allowed	<p>Toggle On: Set the toggle 'On' to enable partial confirmation.</p> <p>Toggle Off: Set the toggle 'Off' to disable partial confirmation.</p> <p>If Confirmation% field value is less than 100%, system marks Partial confirmation to Yes. If Confirmation% is equal to 100%, Partial confirmation to be marked as No.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm.</p>	
Confirmation%	<p>Provide the confirmation percentage.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm or May Add and Partial Confirmation Toggle is 'On'.</p> <p> Note This field is alternate to 'Confirmation Amount'.</p>	

Field	Description	Sample Values
Confirmation Amount	<p>Provide the confirmation percentage.</p>  <p>Note</p> <p>This field is applicable only if Confirmation Instructions is set to Confirm or May Add and Partial Confirmation Toggle is 'On'.</p>	
Requested Confirmation Party	<p>Select the requested confirmation party from the drop-down.</p> <p>The options are:</p> <ul style="list-style-type: none"> • Advising Bank - • Advise Through Bank • Other <p>This field is enabled if the Confirmation Instructions is CONFIRM or MAY ADD.</p>	
Requested Confirmation Party	<p>Select the requested confirmation party from LOV.</p> <p>Online and Non-Online Channels – Provide requested confirmation party details.</p>  <p>Note</p> <p>This field is applicable only for LC Type - Confirmed LC.</p>	
Reimbursing Bank	<p>If reimbursing bank is applicable user must update the field.</p> <p>Online Channel - Update the details received.</p> <p>Non online channel - Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available), • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	

Field	Description	Sample Values
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non Online Channel - Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available) • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p> Note In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <p> Note If Advise through Bank is selected from Requested Confirmation Party list, this field is mandatory.</p>	
Instructions to P/A/N Bank	<p>Online Channel- User can update details received.</p> <p>Non online channel – Provide the details in this field.</p>	
Sender to Receiver Information	<p>Online Channel – User can update details received.</p> <p>Non Online Channel – Provide details (FFT).</p>	
Charges	<p>Online Channel – User can update details received.</p> <p>Non Online Channel – Provide details (FFT).</p>	

MT730 - Acknowledgement Sent

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	
Narrative	Select a FFT to provide the additional information from the advising bank to the issuing bank.	

Field	Description	Sample Values
Issuing Bank Account No	Select the issuing bank account number from the LOV.	
Charges to be Claimed	Select the FFT from the LOV for the charges to be claimed.	
Charges	Provide the charge details for advising.	
Issuing Bank Date	Select the issuing bank date.	
Account with Bank	Select the account to which the charges needs to be paid.	

MT710 - Information to Advise Through Bank

Provide MT710 - Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the informations provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Additional Fields

Banks can configure these additional fields during implementation.

The screenshot displays the Oracle LC Advise - Scrutiny application interface. The top navigation bar includes the Oracle logo, 'My Tasks', and user information (SRIDHAR02, subham@gmail.com). The main content area shows a sidebar with navigation options: Main Details, Availability Shipment, Payment Details, Additional Fields (selected), Additional Details, and Summary. The main panel displays 'Additional Fields' with a message: 'No Additional fields configured!'. The bottom of the screen features a control bar with buttons for Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Additional Details

Scrutiny user can verify/input/update the additional details Data Segment of the Islamic Export LC request.

As part of Additional details section, LC may have impact on the Limits, Collaterals and Charge section.

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Customer Instruction Common Group Messages Incoming Message

Main Details Availability Shipment Payment Details Additional Fields Additional Details Summary

Additional Details

Revolving Details	Limits and Collaterals	Commission,Charges and...	FX Linkage
Revolving : No Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status : Not Initiated	FX Reference Number : Contract Currency : Contract Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (5 / 6)

Limits & Collateral



Note

The fields in this section is applicable only if LC type is Confirmed LC.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
No data to display.									

Cash Collateral Details

Collateral Percentage * 20.0

Collateral Currency and amount GBP £220.00

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
1		PK20010440017	1	100			

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
<input type="checkbox"/> PK2CDP1221100002	GBP	2023-04-20	GBP	87508	£495.00	PK2CDP1221100002	<input type="checkbox"/>

Save & Close Cancel

Limit Details

Customer Id 001044

Linkage Type * Facility

Contribution % * 1.0

Liability Number * PK2LIAB01

Contribution Currency GBP

Line Id/Linkage Ref No * PK2L01SL1

Limit/Liability Currency GBP

Limits Description

Limit Check Response Available

Contribution Amount * £220.00


Expiry Date


Limit Available Amount £999,999,903.89

Response Message The Earmark can be performed as the f

ELCM Reference Number

Verify Save & Close Close

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	

Field	Description	Sample Values
Linkage Type	<p>Select the linkage type. Linkage type can be:</p> <ul style="list-style-type: none"> • Facility • Liability <p>By default Linkage Type should be “Facility”.</p>	
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Liability Number	<p>Click Search to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	<p>Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p> Note</p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default “The Earmarking cannot be performed as the Line ID is Expired” in the “Response Message” field.</p> <p>This field is disabled and read only, if Linkage Type is Liability.</p>	
Line Serial	<p>Displays the serial of the various lines available and mapped under the customer id.</p> <p>This field appears on the Limits grid.</p>	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	

Field	Description	Sample Values
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Contribution Amount	Contribution amount will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	

Collateral Details

Provide the collateral details based on the description provided in the following table:

Collateral Details ✕

<p>Total Collateral Amount * \$67.00</p> <p>Sequence Number 1.0</p> <p>Collateral Contribution Amount * \$52.26</p> <p>Settlement Account Currency GBP</p> <p>Contribution Amount in Account Currency £67.94</p> <p>Response VS</p> <p style="text-align: center;">Verify</p>	<p>Collateral Amount to be Collected * \$67.00</p> <p>Collateral Split % * 78.0 ▼ ▲</p> <p>Settlement Account * PK20011850014 🔍</p> <p>Exchange Rate 1.3 ▼ ▲</p> <p>Account Available Amount £999,999,999,999,999.00</p> <p>Response Message The amount block can be performed as:</p>
--	---

✔ Save & Close
✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	

Field	Description	Sample Values
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection. System populates the response on clicking the Verify button.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral%	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Customer Id
091215

Deposit Branch
PK2

Deposit Available Amount
AED AED 87,508.00

Exchange Rate

Linkage Percentage % *
45.00

Deposit Account
PK2CDP1221100002

Deposit Maturity Date


Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) *
AED AED 450.00

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Deposit Account	Click Search to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	System to default the transaction amount user can change the value. System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

Below fields appear in the **Deposit Details** grid along with the above fields.

Deposit Currency	The currency will get defaulted in this field.	
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Field	Description	Sample Values
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Split	Charge Party	Settlement Account
No data to display.										

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Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settlement Account
CHGTRAMND			GBP	£100.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		PK20010440017

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Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Split Settlement

select	Component	Currency	Amount
<input checked="" type="checkbox"/>	CHGTRAMND_LIQD	GBP	£100.00

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Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/
1	CHGTRAMND_LIQD_S01	50	50.00	PK2	GBP	PK200104	1	1	BEN	001044	<input type="checkbox"/>	N
2	CHGTRAMND_LIQD_S02	50	50.00	PK2	GBP	PK20037	1	1	ISB	003763	<input type="checkbox"/>	N

Save & Close
Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	

Field	Description	Sample Values
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.	

Field	Description	Sample Values
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charges Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	

Field	Description	Sample Values
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details
✕

<p>Component CHGTRAMND_LIQD_S01</p> <p>Customer 001044</p> <p>Account PK20010440017</p> <p>Branch PK2</p> <p>Exchange Rate 1</p> <p>Party Type BEN</p> <p>AR-AP Tracking <input type="checkbox"/></p> <p>Negotiation Rate <input type="text"/></p>	<p>Amount 50</p> <p><input type="checkbox"/></p> <p>Account Currency GBP</p> <p>Percentage 50.00</p> <p>Original Exchange Rate 1</p> <p>Negotiation Reference <input type="text"/></p> <p>Loan/Finance Account N</p>
--	--

Fetch Exchange Rate
Save & Close
Close

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/ Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/ Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill

FX Linkage
✕

FX Linkage
+

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200762005	GBP		\$1,000.00	1.33	\$1,000.00			Jun 28, 2020	✎ 🗑

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Average FX Rate
0

Save & Close
Cancel

FX Linkage
✕

FX Reference Number *

Currency

Contract Amount

Available Contract Amount

Linkage Amount *

Rate

Amount in Contract Currency

FX Expiry Date

FX Delivery Period From

FX Delivery Period To

Save & Close
Close

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV. On selection, system defaults date, available amount, bought currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill. 	
Currency	System defaults from the linked FX contract.	
Bought Currency	System defaults from the linked FX contract.	
SOLD Currency	System defaults from the linked FX contract.	
Available Contract Amount	<p>Available amount will be FX contract amount minus the linked amount.</p> <p>Available amount for linkage should be greater than Zero.</p>	
Rate	Exchange rate is defaulted from the linked FX contract.	
Linked Amount	<p>Sum of Linked amount will not be greater than Bill/LC contract amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Current Utilized Amount	Current Utilized amount displays the liquidated / purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized Amount	Total Utilized amount displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
FX Expiry Date	System defaults expiry date from the linked FX contract.	
FX Delivery Period From	Displays the FX delivery start date.	
FX Delivery Period To	Displays the FX delivery end date.	

Field	Description	Sample Values
Action	Click the Edit icon to edit the FX linkage details. Click the Delete icon to delete the FX linkage details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel the Scrutiny stage inputs and return to dashboard.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Summary

User can review the summary of details updated in Scrutiny Islamic Export LC Advice request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

Export LC Advise Islamic - Scrutiny :: Application No: 000IELA000007237

Summary

Main Details	Availability Shipment	Payment Details	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : PKBANK71XXX Available By : A Port of Loading : Port of Discharge : Mumbai	Period of Present. : Confirmation Instr. : MAY ADD	Click here to view : Additional fields
Revolving Details	Limits and Collaterals	Commission, Charges and Taxes	Parties Details
Revolving : NO Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Confirming Bank : ICICI BANK Beneficiary : SH TEST CORP
FX Linkage			
Reference Number : Contract Amount : Contract Currency :			

Screen (6 / 6)

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Additional Fields - User can view the details of additional fields.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view overrides, if any.	
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	

Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request for Islamic Export LC Advise.



Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several key performance indicators and task lists:

- Draft Confirmation Pending:** A table with columns for Customer Name, Application Date, and Status. Data includes EMR & CO (25-06-2018), NA (25-06-2018), and NA (21-06-2018).
- Hand-off Failure:** A table with columns for Branch, Process Name, and Stage Name. Data includes Bank Futura, NA, and Retry HandOff.
- Priority Details:** A table with columns for Branch, Process Name, and Stage Name. Data includes 004, NA, and Loan Ap.
- High Value Transactions:** A bubble chart showing transaction values for GBP. The x-axis ranges from -1 to 8, and the y-axis from -20K to 140K.
- SLA Breach Details:** A table with columns for Customer Name, SLA Breached (mins), and Priority. Data includes NA (36758, H), HSBC BANK (39951, M), WALL MART (36779, M), and EMR & CO (40064, M).
- Priority Summary:** A table with columns for Branch, Process Name, and Stage Name. Data includes 203, Cucumber Testing, and test descrip.
- Hold Transactions:** A table with columns for Branch, Process Name, and Stage Name. It currently shows "No data to display".
- SLA Status:** A table with columns for Branch, Process Name, and Stage Name. It shows "No data to display" with a legend for Within SLA (blue), Nearing SLA (green), and SLA breached (yellow).
- Tasks Detailed:** A table with columns for Process Reference Number and Process Name.

3. Click Trade Finance > Tasks > Free Tasks.

The Free Tasks page displays a list of tasks with the following columns:

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	DataEnrichment	21-12-17	PK2	000335
Acquire & E...	Medium	Guarantee Advice Closu...	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
Acquire & E...	Medium	Guarantee Advice Canc...	PK2GTAC000007232	PK2GTAC000007232	Approval Task Level 1	21-12-17	PK2	001044
Acquire & E...	Medium	Import LC Amendment L...	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
Acquire & E...	Medium	Export Documentary Co...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advice	PK2GTEA000007209	PK2GTEA000007209	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advice	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Co...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Co...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Co...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advice	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advice	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

The Free Tasks page shows a list of tasks with the following columns:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006268	GS1	000263	£25,000.00	Export LC Advising	Data Enrichment	GS1ELAC19032BMNV
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006271	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNY
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19032A99G
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNO

Page 1 of 1 (1-10 of 10 items) | Previous 1 - 10 of 2822 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	DataEnrichment	21-12-17	PK2	000335	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007231	PK2IILU000007231	DataEnrichment	21-12-17	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILU000007227	PK2IILU000007227	Approval Task Level 1	21-12-17	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007222	PK2IILD000007222	Approval Task Level 1	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Islamic Import LC Drawi...	PK2IILD000007221	PK2IILD000007221	Scrutiny	21-12-16	PK2	001044	

The Data Enrichment stage has five sections as follows:

- Main Details
- Availability & Shipment
- Documents Details
- Payment Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. You should be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Availability & Shipment

Refer to [Availability & Shipment](#).

Document Details

User must provide the required documents and additional conditions (if applicable) for Islamic Export LC Advise in this section.

Export LC Advise Islamic - DataEnrichment :: Application No: 000IELA000007237

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details Availability Shipment **Document Details** Payment Details Additional Fields Advices Additional Details Settlement Details Summary

Document Details (3 / 9)

Code	Name	Copy	Original	Description	Action
AIRDOC	Air Way			Airway Bill	
INSDOC	Insurance			Insurance Policy/Certificate i...	
INVDOC	Invoice			Commercial invoice, duly si...	
MARDOC	Sea Way			COPY OF FAX/TELEX ADVISI...	
OTHERDOC	OTHERDOC			Beneficiary's declaration sta...	

Page 1 of 1 (1-5 of 5 items) < 1 >

Additional Conditions

FFT Code	FFT Description	Action
SNDRRCVRINFO	sndrrcvinfo	

Audit Reject Refer Hold Cancel Save & Close Back Next

Documents Details

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for Document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Capture the information based on the description in the following table:

Field	Description	Sample Values
Click '+' icon to add the multiple document code.		
Document Code	Select the document code from the LOV based on the document received. User can add or delete the code by deleting the line on the grid.	
Document Description	System will populate the document description based on the document code. User can edit the description.	
Copy	Provide the number copies received from the Drawer. User can edit the actual copies received.	
Original	Provide the number of original documents received from the Drawer. User can edit the actual originals received.	

Field	Description	Sample Values
Clause Description	Displays the description of the clause.	
Action	Click Edit icon to edit the document code/ document date. Click Delete icon to delete the document code.	

Additional Conditions

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Payment Details

Refer to [Payment Details](#).

Additional Fields

Refer to [Additional Fields](#).

Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can verify the advices details Data Segment of the Export LC Advise request.

The screenshot shows the Oracle Banking Trade Finance interface. The main header includes the Oracle logo, user information (DEFAULTTENTITY), and the date (Jun 13, 2021). The application title is "Export LC Advise Islamic" with a Data Enrichment ID of PK2IELA000027772. The interface is divided into several sections:

- Navigation Menu:** Includes Main Details, Availability Shipment, Document Details, Payment Details, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary.
- Advices Section:** Displays a grid of advice tiles. Each tile shows the Advice Name, Advice Party, Party Name, and Suppress status.
 - Advice: ISB_BEN_CL: Advice Name: ISB_BEN_CL, Advice Party: BEN, Party Name: MARKS AND SP..., Suppress: NO
 - Advice: LC_ACK_ADVICE: Advice Name: LC_ACK_ADVICE, Advice Party: ISB, Party Name: CITIBANK ENG..., Suppress: NO
 - Advice: LC_CASH_COL_A...: Advice Name: LC_CASH_COL_ADV, Advice Party: ISB, Party Name: CITIBANK ENG..., Suppress: NO
 - Advice: ADV_THIRD_BANK: Advice Name: ADV_THIRD_BANK, Advice Party: ISB, Party Name: CITIBANK ENG..., Suppress: YES
 - Advice: PAYMENT_MESS...: Advice Name: PAYMENT_MESSAGE, Advice Party: ISB, Party Name: CITIBANK ENG..., Suppress: NO
- Footer:** Includes buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

Additional Details

As part of DE, the user verifies and enter the basic additional details available in the LC. In case the request is received through online channel user will verify the details populated.

Revolving Details

Refer to [Revolving Details](#).

Limits & Collateral

Refer to [Limits & Collateral](#).

Commission, Charges and Taxes Details

Refer to [Commission, Charges and Taxes Details](#).

Tracer Details

Provide the tracer details based on the description in the following table:

Tracer Code	Description	Party Type	Required	Maximum Tracers	Number Sent	Start Days	Last Sent On	Medium	Frequency	Template Id	Action
CON_TRACER	CON_TRACER		<input type="checkbox"/>								<input type="checkbox"/>

Page 1 of 1 (1 of 1 items) Save & Close Close

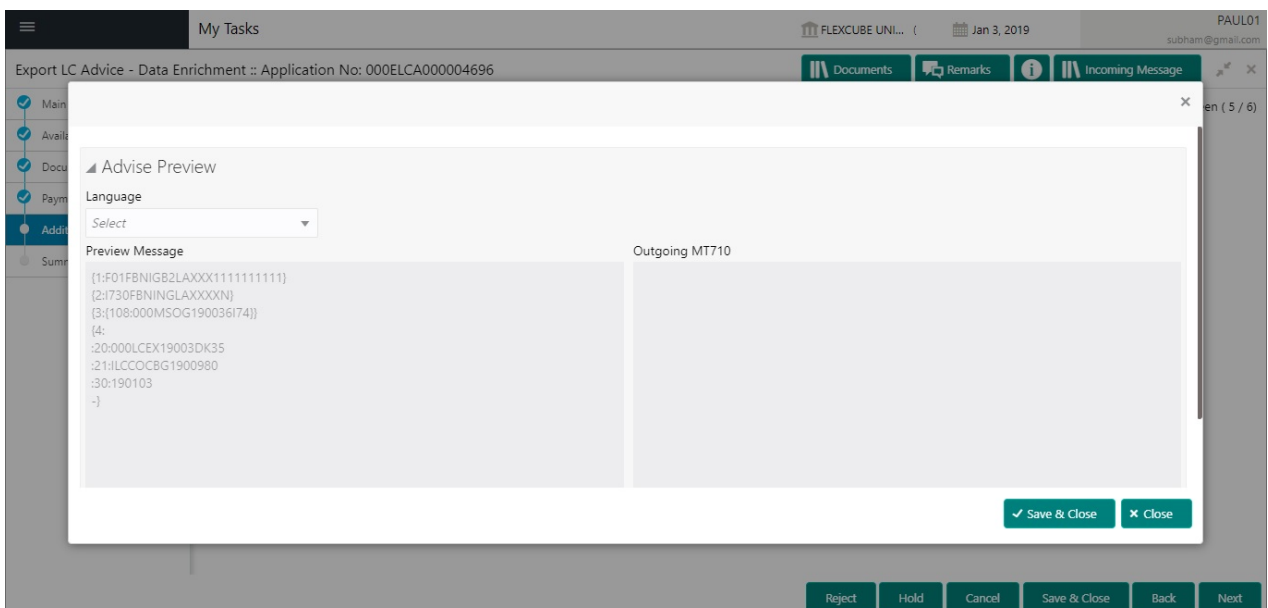
Provide the tracer details based on the description in the following table:

Field	Description	Sample Values
Tracer Code	Tracer code is auto-populated from the latest LC.	
Description	Tracer description is auto-populated from the latest LC.	

Field	Description	Sample Values
Party Type	Click Search to search and select the party type of the tracers from the lookup.	
Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Maximum Tracers	Provide the value for maximum number of tracers.	
Number Sent	Provide the number of tracers sent.	
Start Days	Capture the tracer start days.	
Last Sent On	Capture the date on which the tracer is last sent.	
Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Swift 	
Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Template ID	Click Search to search and select the party type of the template ID from the lookup.	
Action	Click Edit icon to edit the tracer details.	

Preview

User can view the preview message of MT730.



Settlement Details

As part of DE, the user can verify and enter the basic settlement details available in the LC. In case the request is received through online channel, user will verify the details populated.

Export LC Advise Islamic - DataEnrichment :: Application No: 0001ELA000007237

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message Signatures

Screen (10 / 11)

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference
AMT_PURCHASED	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
AMT_PURCHASEDEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_AMND_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
BILL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_OS_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
COLL_LIQ_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable netting indicator.	
Current Event	System defaults the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	

Field	Description	Sample Values
Deal Reference Number	The exchange deal reference number.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Advice Islamic request.

As part of summary screen, the user can see the summary tiles. The tiles should display a list of important fields with values.

Export LC Advice Islamic - DataEnrichment :: Application No: 000IELA00007237

Summary

Main Details	Availability Shipment	Document Details	Payment Details	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : PKBANK71XXX Available By : A Port of Loading : Port of Discharge : Mumbai	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INWDOC Document 4 : MARDOC Document 5 : OTHERDOC	Period of Present. : Confirmation Instr. : MAY ADD	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Tracer Details
Advice 1 : Advice 2 :	Revolving : NO Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Tracer Code : Required : Medium : Frequency :
Preview Messages	Parties Details	Compliance details	Accounting Details	FX Linkage
Language : ENG Preview Message : -	Confirming Bank : ICICI BANK Beneficiary : SH TEST CORP Applicant : Trade Indi	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : AccountNumber : Branch :	Reference Number : Contract Amount : Contract Currency :
Settlement Details	Component :			

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Export LC Advice Data Enrichments stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Export LC Advice request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral. Amount block check will be done for all the parties related to the LC.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM Application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number” to the back office. On successful handoff, back office will make use of these “Amount Block Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account.

Amount Block Exception

This section will display the amount block exception details.

Export LC Advise Islamic
AmountBlock Exception Approval :: Application No:- PK2IELA000027772

Amount Block Exception

Amount Block Exception Details

Type	Contract Currency	Block Amount	Account	Branch	Account Currency	Block Ref No	Block Status	Block Status Details
Charge	GBP	50	PK20010431235	PK2	GBP		Failed	

Audit Reject Refer Hold Approve Back Next

Summary

Free Tasks

Export LC Advise Islamic - Amount Block Exception Approval : Application No: G51ELCA000006268

Documents Remarks Audit Incoming Message

Amount Block Exception Summary

Main Details	Availability Shipment	Document Details	Payment Details	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : PKBANK1XXX Available By : A Port of Loading : Port of Discharge : Mumbai	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Period of Present. : Confirmation Instr. : MAY ADD	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Tracer Details
Advice 1 : Advice 2 :	Revolving : NO Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Tracer Code : Required : Medium : Frequency :
Preview Messages	Parties Details	Compliance details	Accounting Details	
Language : ENG Preview Message : -	Confirming Bank : ICICI BANK Beneficiary : SH TEST CORP Applicant : Trade Indi	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : AccountNumber : Branch :	

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export LC Advice Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the beneficiary. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM Application KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

KYC Details

This section will display the KYC details.

Export LC Advise Islamic - DataEnrichment :: Application No: 000IELA000007237

KYC EXCEPTION APPROVAL

KYC EXCEPTION APPROVAL

Summary

KYC Details

Party ID	KYC Status	KYC Verified On	KYC Verified Till
001044	Verified	27-03-2021	27-03-2022
000327	Failed		

Audit

Reject Refer Hold Approve Back Next

Summary

Export LC Advise Islamic - KYC Exceptional approval :: Application No: 000IELA000007237

KYC EXCEPTION APPROVAL

Summary

Main Details	Availability Shipment	Document Details	Payment Details	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05	Available With : PKBANK71XXX Available By : A Port of Loading : Port of Discharge : Mumbai	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Period of Present. : Confirmation Instr. : MAY ADD	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Tracer Details
Advice 1 : Advice 2 :	Revolving : NO Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Tracer Code : Required : Medium : Frequency :
Preview Messages	Parties Details	Compliance details	Accounting Details	
Language : ENG Preview Message : +-	Confirming Bank : KICICI BANK Beneficiary : SH TEST CORP Applicant : Trade Indi	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : AccountNumber : Branch :	

Audit

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.

- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a refer reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Export LC Advice KYC Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM Application limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit and Collateral Details

This section will display limits and collateral details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export LC Advice Limit Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Multi Level Approval

Log in into OBTFPM Application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

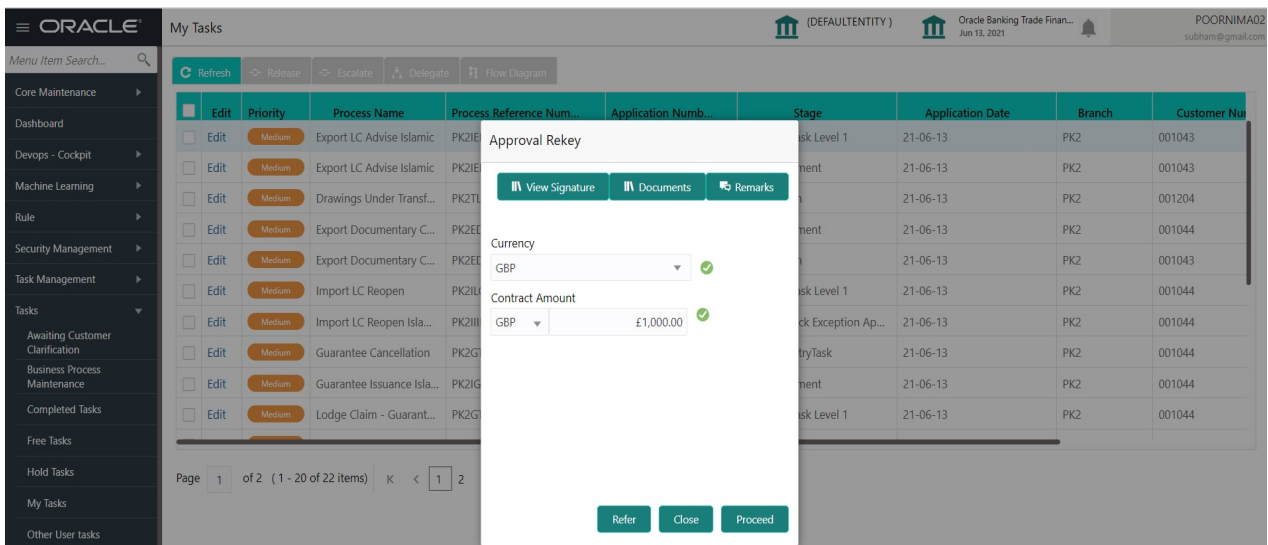
Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- LC Currency, Amount
- Beneficiary party
- Expiry Date
- Issuing Bank

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.



Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

Action Buttons

Field	Description	Sample Values
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R5 - Others 	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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